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Patent  
238/186

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Peter B. Dervan et al.

Serial No.: 09/374,702

Filed: August 12, 1999

For: **STEREOCHEMICAL CONTROL OF THE  
DNA BINDING AFFINITY, SEQUENCE  
SPECIFICITY, AND ORIENTATION-  
PREFERENCE OF CHIRAL HAIRPIN  
POLYAMIDES IN THE MINOR GROOVE**



Group Art Unit: 1634

Examiner: To be assigned

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## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the Applicants' duty under 37 CFR 1.97-98, the following information is brought to the attention of the Examiner. Copies of items listed on the attached Form PTO-1449 were cited and/or provided in parent application numbers 08/853,522 filed May 8, 1997; 08/837,524 filed April 21, 1997; and 08/607,078 filed February 26, 1996.

The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 CFR 1.56 and the submission thereof by Applicants shall not be construed as an

SD-140524.1

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

December 17, 1999

Date of Deposit

Karen M. Cruz

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admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention unless specifically designated by Applicants as such.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information, as defined in 37 CFR 1.56, exists.

This Information Disclosure Statement is believed to be timely in that it is being submitted under 37 CFR 1.97(b) (3) before the mailing of a first Office Action on the merits, whereby no petition or fee is required. However, if counsel for Applicant is in error in this regard, the Commissioner is requested to consider this a petition and he is authorized to charge any required petition fee to counsel's Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: \_\_\_\_\_

12/17/99

By: \_\_\_\_\_



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